PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Avijit Chatterjee, et al. : Date: June 20, 2003

Group Art Unit: Unassigned : IBM Corporation

Examiner: Unassigned : Intellectual Property Law

Serial No.: Unassigned : Dept. 917, Bldg. 006-1

Filed: Herewith : 3605 Highway 52 North

Title: UNIVERSAL ANNOTATION MANAGEMENT : Rochester, MN 55901-7829

SYSTEM

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No.: Unassigned

Docket No.: ROC920030209US1 - 1 -

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Date: June 20, 2003

Respectfully submitted,

William J. McGinnis, Counsel Registration No. 25,698

Telephone: (507) 253-5331 Fax: (507) 253-2382

Serial No.: Unassigned

Docket No.: ROC920030209US1 - 2 -

| Application Number.: | Unassigned |
|--------------------------|---|
| Filing Date: | June 20, 2003 |
| First Named Inventor: | Avijit Chatterjee |
| Art Unit: | Unassigned |
| Examiner Name: | Unassigned |
| Attorney Docket Number.: | ROC920030209US1 |
| | Filing Date: First Named Inventor: Art Unit: Examiner Name: |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No.1 | Document Number Number - Kind Code² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns or Lines Where Relevant Passages or Figs. Appear |
|-----------------------|--------------|--|--------------------------------|---|--|
| | | US - 6.519.603 B1 | 02-11-2003 | Bays et al. | |
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FOREIGN PATENT DOCUMENTS

| No. ¹ | Country ³ - Number ⁴ - Kind Code ⁵ Code (if known) | Publication Date MM-DD-YYYY | Applicant of Cited Document | Relevant Passages or Figs. Appear | Té |
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| Examiner Signature | Date Considered | | |
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¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

| PTO/SB/08A | Application Number.: | Unassigned |
|------------------------|--------------------------|-------------------|
| | Filing Date: | June 20, 2003 |
| INFORMATION DISCLOSURE | First Named Inventor: | Avijit Chatterjee |
| STATEMENT BY APPLICANT | Art Unit: | Unassigned |
| | Examiner Name: | Unassigned |
| Sheet _2_ of _2_ | Attorney Docket Number.: | ROC920030209US1 |
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published. | T^2 |
|----------------------|--------------------------|---|--|
| | | Dettinger et al., IBM Patent Application Serial No. 10/083,075 (ROC920020044US1), filed 02/26/2002, "Improved Application Portability and Extensibility Through Database Schema and Query Abstraction". | |
| | | Dettinger et al., IBM Patent Application Serial No. 10/310,192 (ROC920020165US1), filed 12/04/2002, "Annotation Validity Using Partial Checksums". | |
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